

OARTeL™ Compliance - Corporate Governance 101



Module Number: CCG101 **Module Duration:** 15 Minutes **Language:** US English
Module Format: OARTeL — On-Demand Assessment and Remediation Tool

Module Overview:

This course outlines promoting the presence of “tone at the top” and ethics utilized on a day-to-day basis within an organization.

This Module provides relevant real-life business scenarios that assess a learner’s awareness of corporate governance and identifies the risks that can adversely affect an organization.

Scores will be broken down into 3 ranges, representing low, moderate, and high corporate governance awareness, with a description of each and recommended readings and activities.

The Assessment tool is a weighted assessment, used to gauge a learner’s

understanding of a broad scope of ethics, corporate level controls, and employee awareness. Along with providing the learner with insight into these issues, its goal is to provide organizations with an understanding of how ethics-aware their employees are in specific areas.

The 10 questions will be scenarios written to have “grey areas,” often with several acceptable answers intermixed with a “best” answer. Learners will be encouraged to choose actions that reflect how they would act in the given situation, rather than on which answer they think is correct. They should be assured that their answers and performance will not be singled out for reprimanding action.

OARTeL can be deployed as a Portlet inside IBM Websphere, a SCORM 1.2 compatible LMS, or hosted on an HTTP web server.

A precursory communication is often sent out by management explaining the importance of corporate governance to the organization, the reason for and details of the assessment, and what action will follow it. The assessment should be followed by a live training session, e-Learning course or meeting to discuss the implications of the results and answer learner’s questions about corporate governance and the organization’s practices.

Audience:

Designed for all employees including individual contributors, managers, and executives throughout the organization.

Participants Will Learn:

Awareness Training

- Impact their decisions have upon the organization’s reputation
- Impact their decisions have upon their career
- Impact on stockholder value
- Understanding how to respond and resolve a conflict of interest
- Independence in fact and appearance
- Ethical behavior in the workplace
- Repercussions of insider trading



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